

# Neutrino Physics in Direct Detection Dark Matter Experiments

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## What do I aim at telling you?

- Content of these lectures

Fundamental aspects of DM  
physics

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Particle physics perspective

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# What do I aim at telling you?

DM direct detection experiments will be soon subject to irreducible neutrino backgrounds... Challenge for DM searches  
An opportunity to study  $\nu$  properties at rather low  $E_\nu$

## Magnifying glass

- **LI:** Fundamental aspects of DM physics (thermal relics... WIMPs)
- **LII:** Fundamental aspects of neutrino physics
- **LIII:** DM direct detection and neutrino physics
- **LIV:** Studying BSM neutrino signals in DM detectors

What do I aim at telling you?

### Fundamental aspects of DM physics

- Useful references
- Dynamics of the Early Universe-I
- Dynamics of the Early Universe-II
- Cosmological models: PLANCK data
- Dynamics of the Early Universe-III
- Dynamics of the Early Universe-IV
- Big bang nucleosynthesis+CMB
- Executive summary
- DM candidates
- Limits on MACHOS

Particle physics perspective

# Fundamental aspects of DM physics

### Biased list

- The Early Universe, Kolb & Turner
- Galaxy Formation and Evolution, Mo, Van den Bosch & White
- General relativity, R. Wald
- Modern Cosmology, S. Dodelson

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The dynamics should account for the Universe as we see it (LSS)

## Key questions...

- A. When does it happen?
- B. What does it requires?

## Address A

Solve Einstein equations with the aid of the cosmological principle

$$R_{\mu\nu} - \frac{1}{2} \mathcal{R} g_{\mu\nu} = 8\pi G T_{\mu\nu} + \Lambda g_{\mu\nu}$$

Equation of state:  $p = \omega\rho$

$$\text{Rad: } p = \rho/3 \quad \Rightarrow \quad \rho = \rho_0 R(t)^{-4}$$

$$\text{Mat: } p = 0 \quad \Rightarrow \quad \rho = \rho_0 R(t)^{-3}$$

$$\text{Vac: } p = -\rho \quad \Rightarrow \quad \rho = \rho_0$$

$$T_{\mu\nu} = \begin{cases} \text{Consistency with } g_{\mu\nu} \Rightarrow \text{diagonal } T_{\mu\nu} \\ \text{Isotropy} \Rightarrow \text{spatial components are equal} \\ \text{Simplest realization: Perfect fluid} \end{cases}$$

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# Dynamics of the Early Universe-II

Einstein equations in a FRW Universe with a stress-energy tensor as that of a perfect fluid  $\Rightarrow$  Friedman equation

$$H^2 + \frac{k}{R^2} = \frac{8}{3}\pi G\rho$$

$\rho =$  matter + radiation + vacuum

matter = baryons+? radiation =  $\gamma + \nu$

**Present values  $\Rightarrow$  precise evolution of the Universe**

$$H^2 = H_0^2 \left[ \frac{\Omega_{m,0}}{R(t)^3} + \frac{\Omega_{r,0}}{R(t)^4} + \Omega_{\Lambda,0} + \frac{\Omega_{k,0}}{R(t)^2} \right]$$

$$\Omega_X = \frac{\rho_X}{\rho_C} \quad \rho_C = \frac{3H^2}{8\pi G}$$

Evolution of scale factor:

$$t = \int_0^{R(t)} \frac{dR'}{H(t)R'(t)}$$

Determine evolution of  $R(t)$

$\Rightarrow$  Evolution of the different densities

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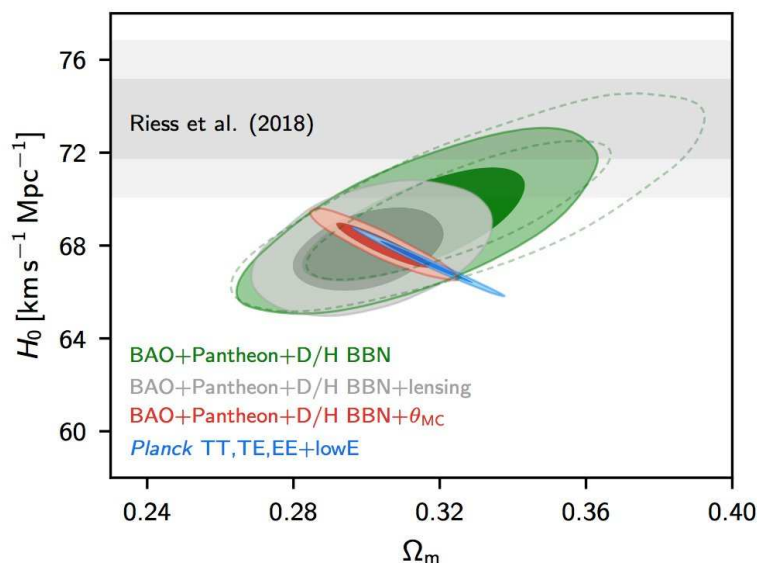
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# Cosmological models: PLANCK data

PLANCK satellite data provides a wealth of information on cosmological parameters

Measurements: temperature, polarization and cross power spectra



PLANCK 2018	
Parameter	TT,EE,TE+lowE+lensing+BAO (68% CL)
$H_0$ [km/s/Mpc]	$67.66 \pm 0.42$
$\Omega_\Lambda$	$0.6896 \pm 0.0057$
$\Omega_m$	$0.3102 \pm 0.0057$
$\Omega_k$	$0.0005^{+0.0038}_{-0.0040}$
$\Omega_b h^2$	$0.02234 \pm 0.00014$
$\Omega_c h^2$	$0.11907 \pm 0.00094$
$z_{\text{eq}}$	$3379 \pm 22$
$z_{\text{rec}}$	$7.75 \pm 0.73 \pm 22$

Cosmological data is well fitted by the  $\Lambda$ CDM cosmological model

Matter in the Universe

$$\rho_b / \rho_m \simeq 0.158 \quad \rho_c / \rho_m \simeq 0.842$$

84% of the matter content is in the form of DM!

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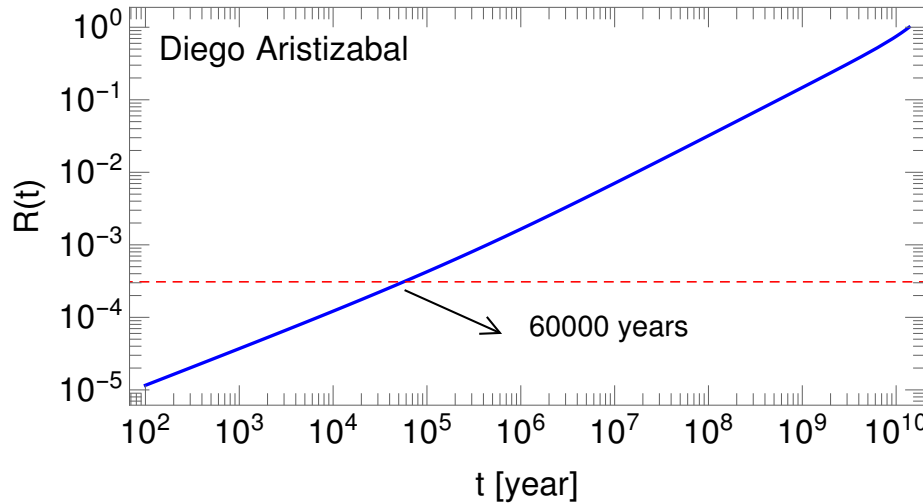
# Dynamics of the Early Universe-III

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Fundamental aspects of DM physics

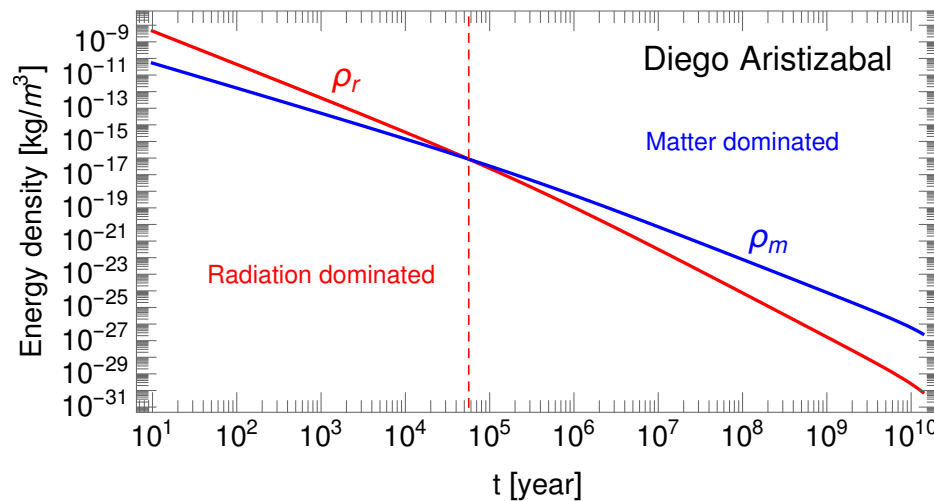
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Information on  $R(t)$  determines the “fate” of  $\rho_X$  and fixes the time for LSS formation

## Back to Friedman equation with PLANCK data



R-D-equality:  $\frac{\Omega_{m,0}}{R(t)^3} = \frac{\Omega_{r,0}}{R(t)^4} \Rightarrow R(t_{\text{eq}}) \simeq 3 \times 10^{-4}$

$t_{\text{eq}} \simeq 6 \times 10^4$  years

**Fixes the time for LSS formation!**

## Address B

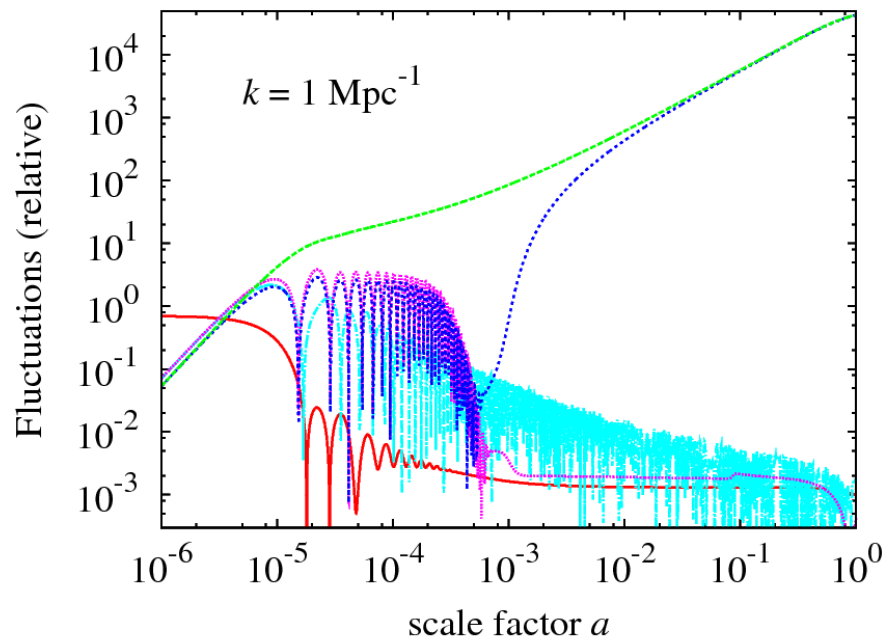
Small density perturbations are responsible for structure formation

$$\text{Model-I: } H^2 = \frac{8\pi G \rho_0}{3} \quad (k = 0)$$

$$\text{Model-II: } H^2 = \frac{8\pi G \rho_1}{3} - \frac{k}{R^2} \quad (k > 0, \rho_1 > \rho_0)$$

$$\text{Den. Inhomo: } \delta\rho = \frac{\rho_1 - \rho_0}{\rho_0} \propto \frac{R^{-2}}{\rho_0}$$

$$\delta\rho \propto \begin{cases} R^2 & \text{Radiation dominated} \\ R & \text{Mat dominated} \end{cases}$$



During MD DM perturbations grow  
*B* perturbations only until recombination

At decoupling *B* fall in  $V_{DM}$

**Structure formation requires DM!**

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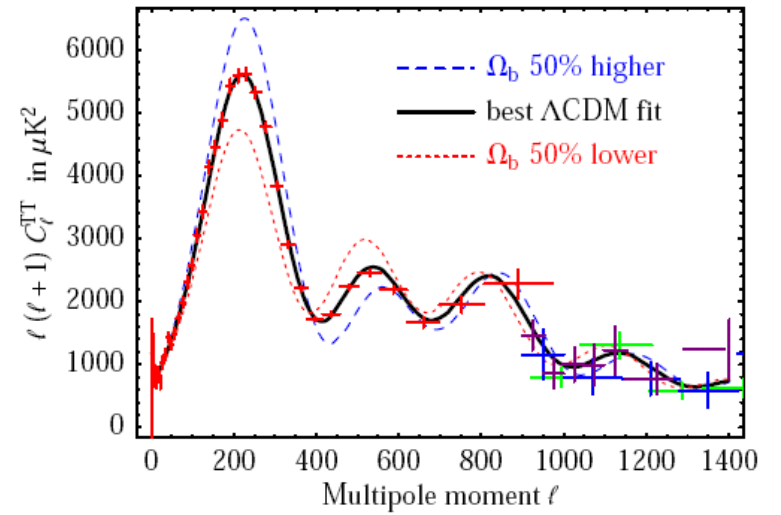
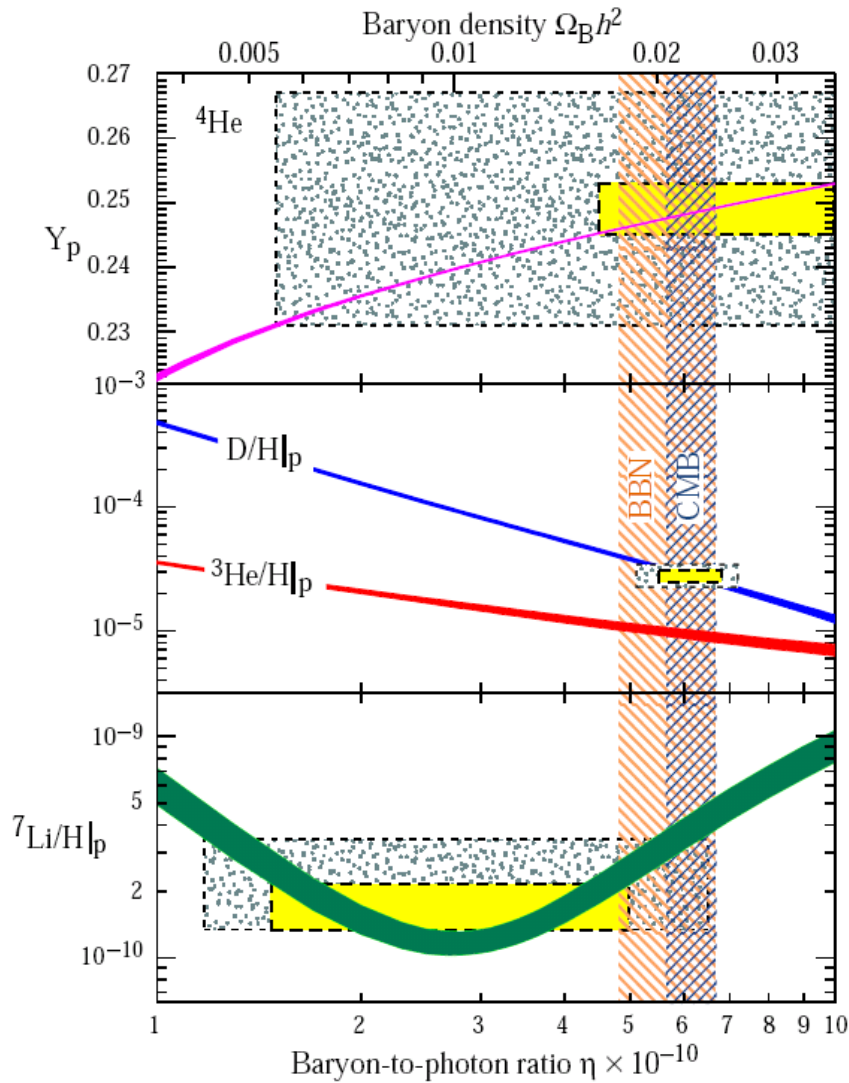
# Big bang nucleosynthesis+CMB

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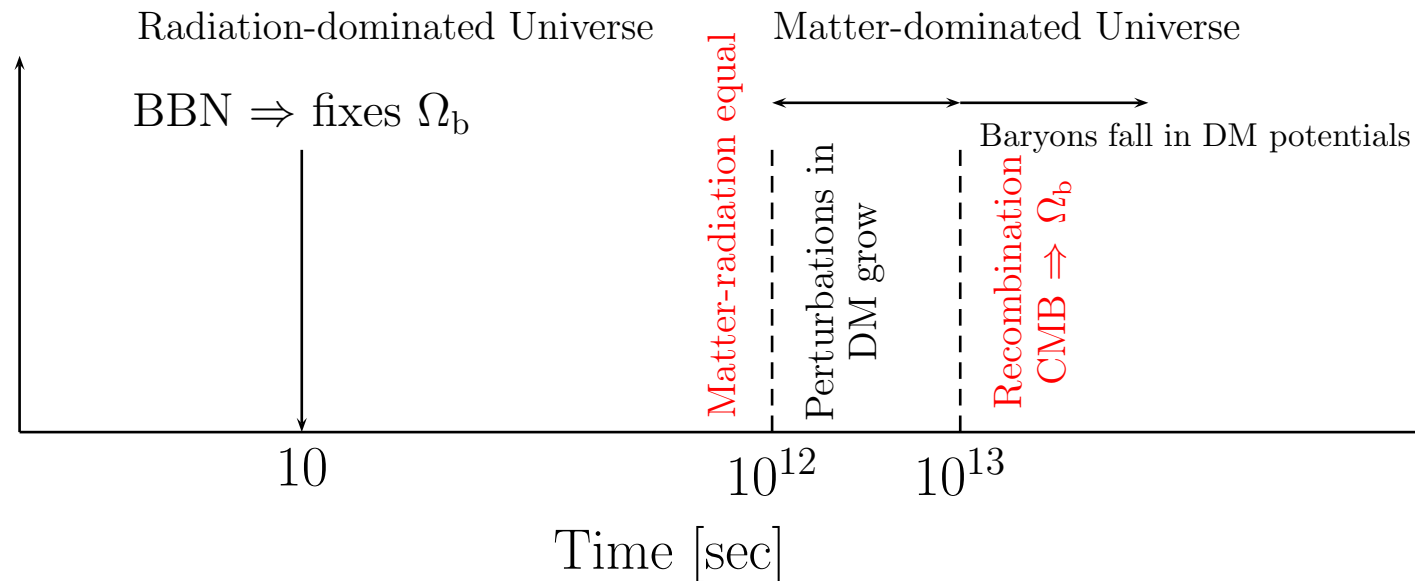
BBN+CMB:  $\Omega_b h^2 \simeq 0.022$

Measurements of  $\Omega_m h^2 = 0.14205 \pm 0.00090$

**Reconciliation requires DM!**

# Executive summary

## Key moments in Early Universe dynamics



**Structure formation requires DM**

**$\Omega_b \ll \Omega_m \Rightarrow$  extra component provided by DM**

**Astrophysical observations (which I didn't discuss)  
support the existence of DM as well**

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Particle physics perspective

Two generic type of candidates exist:

## Massive Compact Halo Objects (MACHOS)

Of baryonic origin

Neutron stars

Black holes

Brown dwarfs

White dwarfs

## New form of matter

Of non-baryonic origin

WIMPs

Sterile neutrinos

Axions

Cosmic strings

## Particle relics

**Non-thermal relics**

Non-thermally produced at early times

Mechanism related with e.g. inflation required

**Thermal relics**

Thermally produced at early times

present abundance determined by freeze-out

From now on I focus on WIMPs!

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# Limits on MACHOS

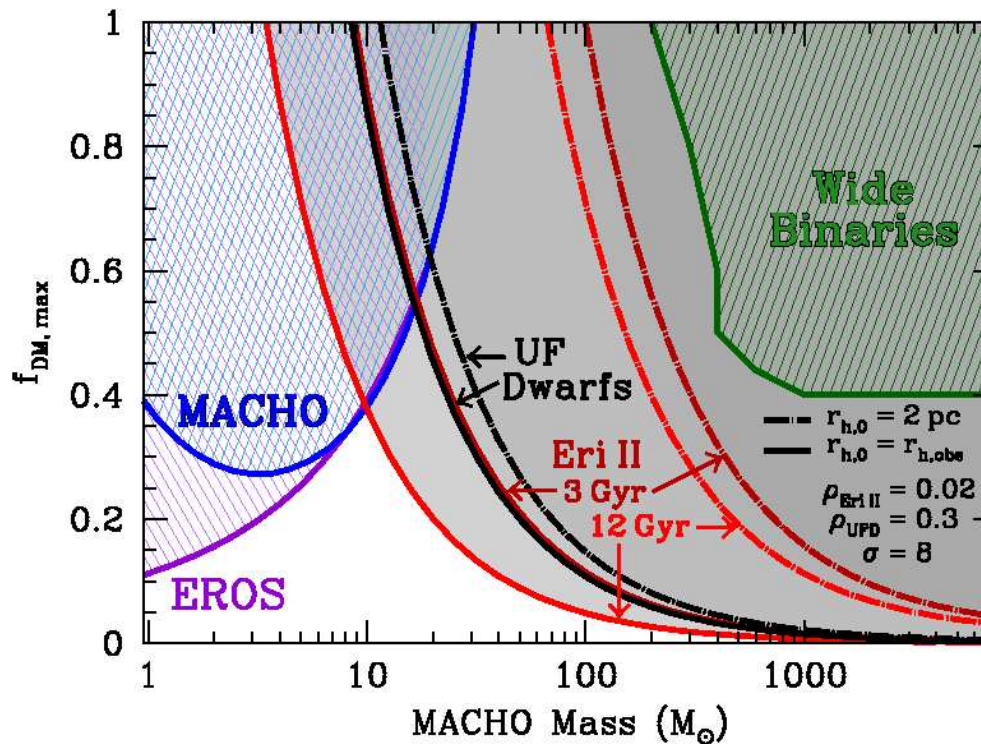
Limits proceed from a variety of experiments most of them  
 relying on gravitational microlensing  
**MACHOS (92-00), EROS-1 (90-95) & EROS-2 (96-03)**

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Combination of these observations

rule out  $m_{MachO} \gtrsim 10^{-7} m_{\odot}$  as

the dominant form of DM

# Particle physics perspective

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Particle physics perspective

- DM properties
- DM freeze-out
- Boltzmann equation:
  - Non-relativistic case
- Relativistic Liouville operator
- Boltzmann Equation in a FRW Universe
- The CP-conserving  $2 \leftrightarrow 2$  case
- Production of a relic abundance-II

**Based on the wealth of astrophysical and cosmological data properties can be derived**

- ⇒ DM is absolutely stable or cosmologically stable with  $\tau \gtrsim 10^{26}$  sec
- ⇒ DM does not interact with light or its interactions are suppressed
- ⇒ The bulk of DM must be dissipationless, a fraction can be dissipative though
- ⇒ Its mass can be within  $[10^{-31}, 10^{48}]$  GeV (Fuzzy DM+MACHOS)
- ⇒ DM assumed to be collisionless. Core-cusp problem  $\Rightarrow$  SIDM
- ⇒ The bulk of DM is either cold or warm (rules out  $\nu$ )

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● DM properties

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● Boltzmann equation:

Non-relativistic case

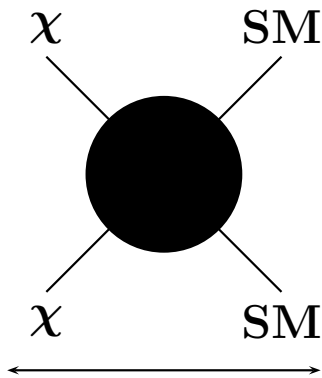
● Relativistic Liouville operator

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At early times plasma populated by fast processes:  $\Gamma \gg H$

$\chi$  is in thermal equilibrium

At freeze-out ( $\Gamma \sim H$ ) the species is non-relativistic

$\chi$  decouples from the heat bath,  $n_\chi$  freezes

## Reactions in the early Universe

What matters is the size of the reaction compared with  $H$  at a certain  $T$

- For  $\Gamma(T) \ll H(T)$  these reactions haven't (still) taken place  
 $\Rightarrow$  Conservation Laws
- For  $\Gamma(T) \sim H(T)$  densities have to be traced with BEqs
  - For  $\Gamma(T) \gg H(T)$  these reactions are in TEQ  
 $\Rightarrow$  Chemical equilibrium

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# Boltzmann equation: Non-relativistic case

In TEQ the PDFs are known: Classically Boltzmann, at quantum level FD or BE

Decoupling does not happen in TEQ  $\Rightarrow f(\vec{v}, \vec{x})$  should be tracked with BEqs

## Non relativistic case

$$\frac{d}{dt} f(\vec{v}, \vec{x}) = \underbrace{\left( \frac{\partial}{\partial t} + \frac{d\vec{x}}{dt} \cdot \vec{\nabla}_x + \frac{d\vec{v}}{dt} \cdot \vec{\nabla}_v \right)}_{\hat{L}_{NR} \equiv \text{Liouville operator}} f(\vec{v}, \vec{x})$$

### Liouville Theorem

No dynamics  $\Rightarrow N$  is conserved

Collision-less BEq:  $\hat{L}_{NR} f = 0$

Collisions:  $\hat{L}_{NR}[f] = \hat{C}[f]$ ,  $C \equiv CT$

At early times dynamics of all species

is relativistic  $\Rightarrow$  tracking  $f_{DM}$

requires a relativistic description

PDF depends on the four-vectors:  $(x^\mu, p^\mu)$

Derivation wrt  $df(x^\mu, p^\mu)/dt \Rightarrow$  **breaks covariance**

$\Rightarrow$  Derivation wrt an affine parameter  $\lambda$

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# Relativistic Liouville operator

## Relativistic case

$$\frac{d}{d\lambda} f = \left( \frac{\partial}{\partial x^\mu} \frac{dx^\mu}{d\lambda} + \frac{\partial}{\partial p^\mu} \frac{dp^\mu}{d\lambda} \right) f$$

Geo. Eq:  $\frac{d^2 x^\mu}{d\lambda^2} + \Gamma_{\alpha\beta}^\mu \frac{dx^\alpha}{d\lambda} \frac{dx^\beta}{d\lambda} = 0$

$$p^\alpha = \frac{dx^\alpha}{d\lambda} \Rightarrow \frac{dp^\mu}{d\lambda} = -\Gamma_{\alpha\beta}^\mu p^\alpha p^\beta$$

$$\hat{L}_R = p^\mu \frac{\partial}{\partial x^\mu} - \Gamma_{\alpha\beta}^\mu p^\alpha p^\beta \frac{\partial}{\partial p^\mu}$$

⇒ Gravitation enters via the affine connection

⇒ BEq:  $\hat{L}_R[f] = C[f]$

⇒ In a flat Universe simple form

## FRW Universe

$$f = f(|\vec{p}|, t) = f(E, t)$$

$$\Gamma_{tt}^r = 0$$

$$\Gamma_{tr}^r = \Gamma_{t\theta}^\theta = \Gamma_{t\phi}^\phi = \dot{R}/R = H$$

$$\hat{L}_R = E \frac{\partial}{\partial t} - H |\vec{p}|^2 \frac{\partial}{\partial E}$$

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# Boltzmann Equation in a FRW Universe

$$n = \frac{g}{(2\pi)^3} \int d^3p f(E, t)$$

**See blackboard**

$$E \frac{\partial f}{\partial t} - \frac{\dot{R}}{R} |\vec{p}|^2 \frac{\partial f}{\partial E} = \hat{C} f$$

$$\frac{dn}{dt} + 3 \frac{\dot{R}}{R} n = \frac{g}{(2\pi)^3} \int \frac{d^3p}{E} \hat{C} f$$

RHS: Collision term:  $\psi + a + b + \dots_i \leftrightarrow i + j + \dots$

$$\text{RHS} = - \prod_i \prod_f \int d\Pi_i d\Pi_\psi d\Pi_f (2\pi)^4 \delta^4(P_i - P_f) \underbrace{[|\mathcal{M}_{i \rightarrow f}|^2 f_i f_\psi (1 \pm f_f) - (i \rightarrow f)]}_{\text{Accounts for asymmetries} \Rightarrow \text{CPV}}$$

⇒ Factors  $1 \pm f_f$ : Bose enhancement or Pauli blocking:  $\rightarrow 1$

⇒ In general a network of BEqs is required... But

⇒ Typically only track  $n_\psi$  (all others are in TEQ: kin+chem)

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# The CP-conserving $2 \leftrightarrow 2$ case

$$\psi\bar{\psi} \leftrightarrow X\bar{X}$$

⇒ Assume interactions of  $\psi$  and  $\bar{\psi}$  are CP-conserving

⇒ All thermal distributions are Maxwell-Boltzmann

Scale out the expansion effect

⇒ Use  $s$  as a fiducial quantity:

$$s\dot{Y} = \dot{n} + 3\frac{\dot{R}}{R}n$$

Take into account entropy conservation:

$$x \equiv \frac{m}{T} \Rightarrow \frac{d}{dt}\left(\frac{R}{x}\right) = 0 \Rightarrow \text{Jacobian: } \frac{dx}{dt} = Hx$$

$$\text{Energy conservation: } f_X^{\text{Eq}} f_{\bar{X}}^{\text{Eq}} = f_\psi^{\text{Eq}} f_{\bar{\psi}}^{\text{Eq}}$$

## Boltzmann equation

$$\frac{dY_\psi}{dx} = -\frac{xs}{H(m)} \langle \sigma|\vec{v}| \rangle (Y_\psi - Y_\psi^{\text{Eq}})$$

## Thermally-averaged cross section

$$\langle \sigma|\vec{v}| \rangle = \frac{1}{(n^{\text{Eq}})^2} \int d\Pi_\psi d\Pi_{\bar{\psi}} d\Pi_X d\Pi_{\bar{X}} (2\pi)^4 |\mathcal{M}|^2 f_X^{\text{Eq}} f_{\bar{X}}^{\text{Eq}}$$

$\mathcal{M}$ : Contains particle physics model information

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# Production of a relic abundance-II

Numerical solution is required... But don't get me wrong

Before using computers understand the physics!

Otherwise is not physics is just a technician job!

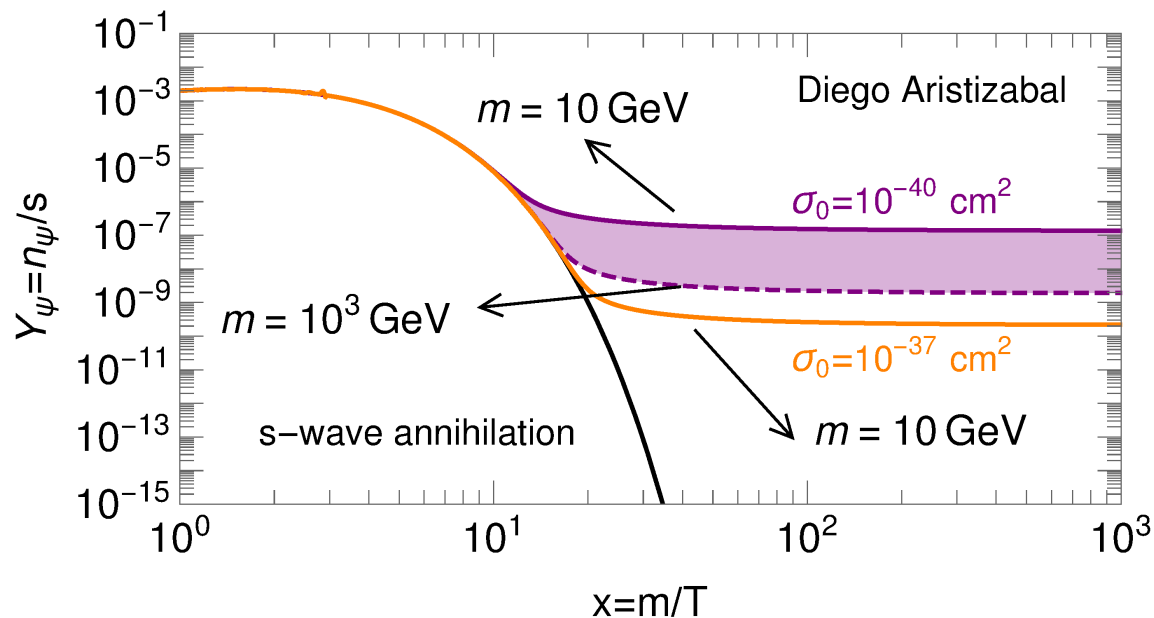
Entropy density dominated by the contribution of relativistic species

$$s = \frac{2\pi^2}{45} g_{\star} T^3$$

Thermally-averaged xsec:  $\langle \sigma | \vec{v} | \rangle = \sigma_0 \left( \frac{T}{m} \right)^n$

$n = 0 \rightarrow$  s-wave annihilation

$n = 1 \rightarrow$  p-wave annihilation



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